



**DESIGNING,  
BUILDING AND  
SUPPORTING  
YOUR  
RETIREMENT  
PROGRAM**

the **ARCHITECT**  
401(k) plan

# Designing, Building and Supporting Your Retirement Program

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**The right retirement plan isn't something you buy off the shelf. It should be designed and built from the ground up. It's created in concert with recognized experts in the retirement industry and tailored to fit your needs.**

**It's powerful, meaningful and can give your company a competitive edge. In short, it's the blueprint for your business success.**

**It's the *Architect.***



# The Blueprint for Your Competitive Edge

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**Your business is successful because of your knowledge and focus. It's the same reason that we are successful. As experts at what we do, we commit to helping your company provide unmatched retirement plan packages and service.**

**We invite you to experience this one-of-a-kind benefit plan and understand how it will impact your business and employees. The Architect will change the way you look at your retirement plan forever.**



# Architect Plan Features

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**Participants Can Choose From Two Investment Strategies: Core Funds and / or Professional Money Management**

## **Core Funds**

- **Unlimited fund availability**
- **Individually customized fund platform**
- **Full fee disclosure**
- **Streamlined and efficient technology**

## **Professional Money Management**

- **Participants may choose to have a money manager oversee their account**
- **Daily managed participant accounts**
- **Excellent performance history**
- **Unbiased investment education and advice**
- **Fiduciary liability on all managed money is transferred to the Registered Investment Advisor**



# Architect Plan Features

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## Co-Fiduciary Coverage

- **Designed in concert with the Center for Fiduciary Services**
- **Satisfies fiduciary requirements of ERISA**
- **Registered Investment Advisor signing on side-by-side with the Plan Sponsor**
  - \* **Assists in the selection and ongoing monitoring of funds**
  - \* **Monitors fiduciary compliance with ERISA 404c**
  - \* **Assists in preparing the plan's Investment Policy Statement**
  - \* **Annually runs the investment committee meeting**
  - \* **Significantly reduces plan sponsor's fiduciary risk**



# Architect Plan Features

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## **Professional Consultant/Broker**

- **Extensive network of participant support via personal education and consulting**
- **Significant experience with a high-quality associate support staff**
- **Commitment to plan asset growth, profitable sales, and company benefit promotion**
- **Training available for internal staff**
- **Actionable strategies comprised of investment advice, prudent portfolio management, and retirement projections**
- **High-quality plan design, consulting and assistance**



# Architect Plan Features

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## National Enrollment Services

- **On-site enrollment with each employer**
- **Fund options and managed accounts clearly explained to plan participants**
- **10 regional offices**
- **15 national enrollers**
- **Additional enrollment services available upon request**



# Architect Plan Features

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## State-of-the-Art Record keeper

- **Customized website which drives plan participants to your corporate home page**
- **Customized reporting options**
- **Comprehensive Plan Sponsor reports**
- **E-statement & statement-on-demand options**
- **24-hour voice response unit**



# Architect Plan Features

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## **National Third-Party Administration**

- **Extensive experience in plan compliance and administration**
- **Excellent history of on-time compliance and tax reporting**
- **Prompt response and detailed attention to inquiries**
- **Expertise you can trust**



# Why should you choose the Architect?

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**You have spent a tremendous amount of time designing and building your company so it can be successful. The same amount of attention and foresight was put into the development and design of the Architect 401(k) plan.**

**You can be assured the Architect will meet your needs and give you complete control over every aspect of your retirement plan.**



# Why should you choose the Architect?

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- **Unlimited fund availability**
- **Advanced technology**
- **Reduced fiduciary risk**
- **Participant education**
- **Complete fee disclosure**
- **Professional money management**
- **Experienced industry professionals**
- **Linked to company website**
- **100% credit for all 12b-1 & Sub-TA fees**



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**FOR COMPLETE INFORMATION, PLEASE CONTACT  
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